

**Section 1: Customer Information**

PLEASE COMPLETE THIS SECTION IN ALL CASES

Title (Mr, Mrs, Miss, Dr etc.)	
Surname	
Forename(s)	
Other name(s) used now or previously	
Marital status	
Date of birth	
Place of birth (including town)	
Nationality / Domicile	
Passport / National Identity Number	
Principal residential address	
	Postcode
Length of residency at above address	
Owner / tenant / other e.g. with parents	
Telephone number	
Mobile number	
Fax number	
Email address	
Occupation / nature of employment	
Years in current employment	
Name and address of employer	
Details of the source of your wealth	
Details of income(s)	
<i>Please provide the following information regarding the assets being transferred or, if applicable, details of any third party transferring the original funds:</i>	
Name of remitting bank	
Address	
	Postcode
Account name	
Sort code	
Account number	
Value of assets being transferred	

**Section 2: Joint Accounts**

PLEASE ADDITIONALLY COMPLETE THIS SECTION IF APPLYING FOR A JOINT ACCOUNT

Title (Mr, Mrs, Miss, Dr etc.)	
Surname	
Forename(s)	
Other name(s) used now or previously	
Marital status	
Date of birth	
Place of birth (including town)	
Nationality / Domicile	
Passport / National Identity Number	
Principal residential address	
	Postcode
Length of residency at above address	
Owner / tenant / other e.g. with parents	
Telephone number	
Mobile number	
Fax number	
Email address	
Occupation / nature of employment	
Years in current employment	
Name and address of employer	
Single/Joint signatory	

IF MORE THAN TWO ACCOUNT HOLDERS, PLEASE COPY AND COMPLETE THIS PAGE

**Section 3: Tax Instructions**

- I understand that Vivier & Co is registered for the Approved Issuer Levy ('AIL') scheme in New Zealand. By paying AIL at a rate of 2% on my interest, withholding tax is not deducted in this country from my interest.
- By default, I wish to opt-in to the AIL scheme, to let Vivier & Co pay the AIL and to have no tax deducted from my interest.
- Alternatively, I have ticked here [ ] to indicate that I wish to opt-out of the AIL scheme and to have tax deducted at a rate of 15% on my interest.

**Section 4: Declaration**

- I/We have read and agree to be bound by Vivier & Co's terms and conditions.
- I/We certify that all information supplied by me/us in this application is correct and complete.
- I/We attach the following:
  - a copy of our current passport(s) or national ID card(s)
  - a copy of our utility bill, less than three months old, evidencing our permanent address.
  - details of any third party transferring the initial funds.

**I hereby confirm that the above information is correct** and that I own the assets which I intend to provide, free of prior claims or other impediments save as acknowledged in writing by you. I also consent to disclosure by Vivier & Co of any of my personal information to an associated company or to a third party service provider in connection with the confidential operation and maintenance of accounts and services.

AUTHORISED SIGNATORY	SIGNED	DATE

**In addition, please attach the following for each account holder:**

➤ A utility bill†, under three months old, evidencing your permanent address.	
➤ A copy of your valid passport or national ID card certified by:	
<ul style="list-style-type: none"> <li>○ a member of our staff;</li> <li>○ a member of the judiciary;</li> <li>○ an official from the embassy, consulate or high commission of the documents' country of issue;</li> <li>○ a senior civil servant, or a serving police or customs officer;</li> <li>○ a lawyer or notary public††;</li> </ul>	<ul style="list-style-type: none"> <li>○ an accountant††;</li> <li>○ a director, officer or manager of a regulated financial services provider, acceptable to us.</li> <li>○ a member of the institute of Chartered Secretaries and Administrators;</li> <li>○ an actuary††.</li> </ul>

† not a mobile phone bill

†† a member of a recognised professional body.

The certifier must:

- state as follows on the copy document:
  - I have met the investor face to face;
  - any photograph is a true likeness of the individual concerned; and
  - I am signing a true copy of the original documentation.
- sign and date the copy document;
- print his/her name clearly in capitals underneath;
- clearly indicate his/her position or capacity on it; and
- provide contact details.